The Synchrony and Diachrony of Applicative Morphology in Soranî Kurdish

Shuan Osman Karim karim.56@osu.edu

Ohio State University

Roadmap

Roadmap

• Adpositions

- Adpositional Phrases (canonical)
- Adpositional Phrases (with enclitic complements)
- Absolute Prepositions
- Applicatives
 - Definitions
 - Criteria
 - APs as applicatives
- Diachrony
 - The formatives
 - Integration into the verbal complex
 - Repeat (and what is left out)
- Other (possible) applicatives in Iranian

Adpositional Phrases (canonical)

PP: P NP(=P)

- An adpositional phrase (PP) consists of a preposition, a noun phrase, and an optional postposition.
 - a. **be** pyaw-eke to man-DEF.SG
 - b. le pertûk-eke=aon book-DEF.SG=on
- Some prepositions have a complex form consisting of a simple preposition and a nominal element.
 - a. **be_des** pyaw-eke to (by hand) man-DEF.SG
 - b. **legeł** pyaw-eke=**a** with man-DEF.SG=with

Adjunct

(Mackenzie, 1961, 4.19)

- (1) a. [le_paş muddet-êk-î zor] xwa [after time-INDF-EZ much] god ewla-yek-î <d>a-n-ê child-INDF=1SG.A give.PST-3PL.O=to-DAT.AP
 - b. xwa [le paş muddetêkî zor] ewla-yek= $\hat{i} < d > a-n = \hat{e}$
 - c. xwa ewlayekî [le paş muddetêkî zor] <d>anê
 - d. xwa ewlayekî <d>anê [le paş muddetêkî zor]

'After much time, God gave them a child.'

Argument

(Mackenzie, 1961, 16.22)

- (2) a. kuř gwê=î [le tepl-î beşaret] boy hear.PV=3SG:A from drum-EZ good.tidings bû hear.STEM.PST
 - b. kuř [le teplî beşaret] gwêî bû
 - c. kuř gwêî bû [le teplî beşaret]

'(the) boy heard the drum of good tidings.'

Adpositional Phrases (with enclitic complements)

$PP: P(_)N=PM(=P)$

 Additionally, prepositional phrases can consist of a complex adposition (P_N) and an enclitic pronoun, with an optional postpositon.

However, simple adpositions may not take enclitic pronominal complements.

b. *le=man=a on=1PL=on

Enclitic pronouns that attach to nominals

d.

a.	kuř-eke=m
	boy-def=1sg

- 1sg: =(i)m
- 2sg: =(i)t
- $3sg: =\hat{i}$
- $1_{PL:} = man$
- $2_{\text{PL:}}$ =tan

3PL: =yan

- b. pare=t money=2sg
 c. pênûs-êk=î
 - pen-INDF=3SG
 - lege₁=tan=a with-2PL=with
- e. le_des=yan=ewe from-3PL=from

Argument

(Mackenzie, 1961, 12.15)

- - b. [legel-î]2 [le mal-ewe]1 nehatin
 - c. [le mal-ewe]1 [legel-î]2 nehatin
 - d. [legel-î]2 nehatin [le mal-ewe]1

'They(PL) didn't come from home with him/her'

Absolute Prepositions

Detour: Alignment in Central Kurdish I

- To understand absolute prepositions, one must argument indexing in Kurdish.
- Argument indexing is split-ergative (remnant-split-ergative)

	APM_1		CPM		APM	2
	PRS: S/A		PRS: P	$/O_{APPL.INTR}/O_{APPL.TR}$	PRS:	_
	PST: —		PST: A	$A/O_{APPL.INTR}$	APM	2: S/P/O _{APPL.TR}
	SG	PL	SG	PL	SG	PL
1	-im	-în	=(i)m	=man	-im	-în
2	-î(t)	-(i)n	=(i)t	=tan	-î(t)	-(i)n
3	$-\hat{e}(t)/a(t)^1$	-(i)n	=î	=yan	-Ø	-(i)n

 In the present tense, both S and A are indexed using a set of verbal affixes (APM₁).

Detour: Alignment in Central Kurdish II

- (4) bałdar-eke e-fř-ê
 bird-DEF IPFV-fly.PRS-3SG.S
 'The bird flies'
- (5) ew pyaw=e nan-eke e-xw-a DEM.DIST man=DEM bread-DEF.SG IPFV-eat.PRS-3SG.A

'That man is eating the bread.'

• The split alignment pattern of Soranî is shown in the past tense where S is indexed by verbal affixes (APM₂), and A is indexed by markers that are affixed to the first verbal argument or morpheme (CPM).

Detour: Alignment in Central Kurdish III

- (6) bałdar-ek-an fřî-n
 bird-DEF-PL fly.PST-3PL.S
 'The birds flew.'
- (7) ew pyaw=e nan-eke =î xward
 DEM.DIST man=DEM bread-DEF.SG =1SG.A eat.PST
 'That man ate the bread.'
 - The split alignment pattern of Soranî is also shown by the way that P is indexed. Note that in the previous transitive example (7), there is an overt patient *naneke* 'the bread,' which is not indexed in the verbal morphology. In the absence of an overt noun phrase patient, P must be indexed in the verbal morphology, which is tense-sensitive.

Detour: Alignment in Central Kurdish IV

- (8) e- =yan xw-a IPFV- = $3PL.O_{\mathbf{P}}$ eat.PRS-3SG.A'S/he is eating them.'
- (9) xward-in = \hat{i} eat.PRS-3PL.**O**_P =3SG.A 'S/he ate them.'
 - Another feature of Soranî grammar that affects the alignment pattern is that there are a wide range of complex predicates including light-verb constructions and verbs with preverbs. In Soranî light-verb constructions, it is the light verb stem that determines the formatives that index S, A, P, and O_{APPL}.

Detour: Alignment in Central Kurdish V

- (10) pyase =m e-kird
 walk =1sg.S IPFV-LV.PST
 'I was walking.'
- (11) pyase e-ke-mwalk IPFV-LV.PRS-1SG.S'I am walking.'
 - The preverb-verb constructions behave similarly. A verb stem is accompanied by a relational element inducing a semantic derivation. The argument indexing strategy is dictated by the verb stem. For instance:

girtin 'to hold'VP: NP Vwergirtin 'to take'VP: NP PP Vkewtin 'to fall'VP: Vserkewtin 'to climb'VP: PP V

Absolute Prepositions I

- "Absolute prepositions" fall into two categories based on their formation.
 - Some differ from their simplex prepositional counterparts systematically. If thethe preposition begins with a voiced stop, it is devoiced, and the vowel /e/ is replaced by /ê/.

APs *l* \hat{e} , *t* \hat{e} , and *p* \hat{e} coorespond to Ps *l*e, *de, and *b*e. These forms can be understood diachronically as a contraction between simple prepositions *l*e, *de, and *b*e and the third-person singular (feminine) oblique pronoun *(e)w* \hat{e} now defunct in Central Kurdish.

> Others are identical to the corresponding complex adpositions (P_N);

Absolute Prepositions II

e.g. as a preposition *lege4 em dêwe* 'with this demon' and *lege4î* 'with him' or as an AP:

- (12) hewt řoj zoran =it legelseven day wrestle =2SG:A COM.APPLgirt-im LV.PST-1SG.O_{APPL}
 'You wrestled with me for seven days.' (Mackenzie, 1961, 24.3)
 - The pronominal complements of absolute prepositions are indexed the same way as patients.
 - Transitive:

Absolute Prepositions III

(13) su'al =yan lêask.LV =3PL. O_{APPL} ABL.APPLe-k-a IPFV-ask.LV.PRS-3SG.A 'he asks them (lit. he does a question from them)' (Mackenzie, 1961, 8.1)

(14) e- =yan k-a
IPFV- =
$$3$$
PL. $O_{\mathbf{P}}$ make.PRS- 3 SG.A
'he makes them'

Absolute Prepositions IV

(16) e- =î kird-in
IPFV- =3sG.A make.PRS-3PL.
$$O_{\mathbf{P}}$$

'he was making them'

Absolute Prepositions V

- Intransitive:

- (17) jin-êk =î lêwoman-IND =3SG.O_{APPL} ABL.APPLe-hat-Ø -e der IPFV-come.PST-3SG.S -outward
 'A woman was coming out from it.' (Edmonds, 1955, 498)
- (18) jin-êk =î lêwoman-IND =3SG.O_{APPL} ABL.APPLyêt -e der IPFV.come.PRS.3SG.S -outward 'A woman is coming out from it.' (adapted from ex.(17))

Absolute Prepositions VI

- Absolute prepositions do not take overt nominal (NP) complements.
 - le mał 'from house'
 - * lê ma↓ '(from house)'
 - be çawkûç 'with hammer'
 - * pê çawkûç '(with hammer)'
 - le (cf. Mukrî: de) şax 'on mountain'
 - * tê şax '(on mountain)'

Absolute Prepositions VII

- Absolute prepositions occur in a fixed position within the verbal hierarchy.
- (19) a. fîlm-êk =im temaşa legelmovie-INDF =1SG.A watch COM.APPLkird-î $LV.PST-2SG.O_{APPL}$
 - b. *fîlm-êk =im temaşa kird-î movie-INDF =1SG.A watch LV.PST-2SG.? lege4 COM.APPL

Absolute Prepositions VIII

- c. *legeł fîlm-êk =im temaşa COM.APPL movie-INDF =1SG.A watch kird-î LV.PST-2SG.?
 - 'I watched a movie with you.'
- (20) a. film- $\hat{e}k$ =it temaşa legelmovie-INDF =2SG.O_{APPL} watch COM.APPLe-ke-m LV.PRS-1SG.A
 - b. *fîlm-êk =it temaşa e-ke-m movie-INDF =2SG.? watch LV.PRS-1SG.A lege+ COM.APPL

Absolute Prepositions IX

- c. *legeł fîlm-êk =it temaşa COM.APPL movie-INDF =2SG.? watch e-ke-m LV.PRS-1SG.A
 - 'I will watch a movie with you.'

Absolute Prepositions X

- Absolute prepositions compete for realization with other verbal morphemes.
- (21) baxşîş-êk-î zor=î
 present-INDF-EZ very=3SG.A
 da-n=ê
 give.PST-3PL.R=DAT.APPL
 '(he) gave them a big present' (Mackenzie, 1961, 26.25)
- (22) baxşîş-êk-î zor=î
 present-INDF-EZ very=3SG.A
 da-n=ewe
 give.PST-3PL.R=ITR(.DAT.APPL)
 '(he) gave them back a big present' (adapted from (21))

Absolute Prepositions XI

• Absolute prepositions can act as preverbs.

Stem	Gloss	Preverb	Verb	Gloss
girtin	'to hold'	wer	wergirtin	'to take'
girtin	'to hold'	he↓	he√girtin	'to lift'
hatin	'to come'	da	dahatin	'to be combed'
sor-kirdin	'to redden (TR) '	=ewe	sor-kirdnewe	'to fry'
sor-kirdin geyştin	'to redden (TR)' 'to arrive'	=ewe tê	sor-kirdnewe têgeyştin	'to fry' 'to understand'
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Definitions of applicatives

Definitions of applicatives I

• Intuiting a definition from examples

Bukusu (Peterson, 2007, 7-8)

- (23) wanjala a-a-kul-a sii-tabu
 Wanjala C3:S-PST-buy-IND CL7-book
 'Wanjala bought the book.'
- (24) wanjala a-a-kul-il-a omu-xasi sii-tabu Wanjala C3:S-PST-buy-APPL-IND CL1-woman CL7-book 'Wanjala bought the book for the woman.'
- (25) wanjala a-mu-kul-il-a sii-tabu
 Wanjala 3s:S-CL1-buy-APPL-FV CL7-book
 'Wanjala bought her the book.'

• Definition 1

"Applicative constructions are a means some languages have for structuring clauses which allow the coding of a thematically peripheral argument or adjunct as a core-object argument. Such constructions are signaled by overt verbal morphology." (Peterson, 2007, 1)

Nadëb (Weir, 1987)

(26) bxaah kalapéé ya-sooh tree child SUPE-be.sitting
'The child is sitting on the tree.' (Lit., 'The child is on-sitting the tree'.)

Rama (Craig & Hale, 1988)

Nainguku kiskis nsu-kuaakar-i, so tongs 1PL-have-ASP Rama: 'That's why we have the tongs,

(27) suli-kaas () yu-nsu-auk-kama.
 animal-meat () APPL-with-1PL-roast-SBJV
 Rama: 'for us to roast meat with (it). (Craig & Hale, 1988)

Bukusu (Peterson, 2007)

- (28) a-xu-n-der-a=xo
 3SG:S-2SG:O-1SG:R-bring-IND=APP
 'He brought you to me.'
- (29) *a-xu-der-a=xo njye 3sg:s-2sg:o-bring-IND=APP 1sg 'He brought you to me.'

Definitions of applicatives V

- Applicative allomorphy

Hakha Lai (Peterson, 2007, 17)

allomorph	thematic role
-piak	benefactive/malefactive
-tse?m	additional benefactive
-pii	comitative
-hno?	malefactive
-ka?n	prioritive
-taak	relinquitive
-naak	instrumental

Definitions of applicatives VI

• Definition 2

"constructions with additional indirect objects" (Pylkkänen, 2008, 11)

- Expanding the definition to include:

English

(30)
$$aj=!$$
 $b\bar{e}k \ a \ k\bar{e}k$
1.SG=FUT bake INDF cake
'I'll bake a cake'

(31) aj=! $b\bar{e}k-\emptyset$ $j\bar{u} \rightarrow k\bar{e}k$ 1SG=FUT bake-APPL 2SG INDF cake 'I'll bake you a cake'
• Definition 3

"any derivational morphology occurring on a verb root/stem that has amongst its functions the introduction of a non-Actor semantic argument into a main clause. This non-Actor is usually mapped onto an applied phrase. The latter term, coined by Denis Creissels, refers to any morphosyntactic entity introduced and/or semantically/pragmatically manipulated by the applicative without any specifications about its syntactic category, argument status, and/or semantic role" (Pacchiarotti & Zúñiga forthcoming)

Definitions of applicatives VIII

- Expanding the applicative domain

Acazulco Otomí (Hernández-Green, 2016)

- (32) dí=k'úhki=ga [ko yám ts'ĭ]_{INS-PP} 1COMPL=tear=1 with DET.PL.1POSS tooth 'I tore it with my teeth.'
- (33) dá=k'úhki=ga [ko yám
 1.COMPL(GENR)(APPL)=tear=1 with DET.PL.1POSS ts'ĭ]_{INS-PP}
 tooth
 'I tore it WITH MY TEETH.'

Criteria for applicatives

Criteria

- Based on Pacchiarotti and Zúñiga's (forthcoming) definition,
 - Applicatives are morphemes.
 - They introduce a non-Actor semantic argument.
 - There are no specifications about its syntactic category, argument status, and/or semantic role.
 - Applicatives may have additional grammaticalized functions.

APs as applicatives

APs as applicatives I

- APs are morphemes.
 - Despite their similarity to adpositions (especially with enclitic complements), APs only introduce an applied object when attached to the verb. This is similar to nouns like *ser*, which corresponds to three lexemes:

a noun 'head,'

a (derivational) preverb *ser*-, e.g., *serkewtin* 'to climb,'

part of a complex adposition $=e_ser$ 'on to,' and part of a complex applicative $=e_ser$ '[SUPE.APPL].'

• They introduce a non-Actor semantic argument.

APs as applicatives II

Simplex adpositions	Gloss	Applicative	Gloss
be	'to'	-ê	-DAT.APPL
be	'by (experiencer)'	pê-	EXP.APPL-
be=a	'out of'	руа-	ELA.APPL-
be=ewe	'by (instrument)'	pêwe-	INS.APPL-
le	'from'	lê-	ABL.APPL-
—	—	lê-	MAL.APPL-
le	'on'	tê-	LOC.APPL-
le=a	'on'	tya-	LOC.APPL-
le=ewe	'from'	lêwe-	ABL.APPL-
-e	'to'	-ê	ALL.APPL-
ta	'until'		
bê	'without'		
wekû	'like'	_	_

Complex adpositions	Gloss	Applicative	Gloss
be_des	'to (by hand)	be_des-	DAT.APPL-
bo ²	'for'	bo-	BEN.APPL-
le_des	'from (by hand)'	le_des-	ABL.APPL-
lege↓=a	'with'	lege∔-	COM.APPL-
-e_ser	'on to'	-e_ser	-SUPE.APPL

- There are no specifications about its syntactic category, argument status, and/or semantic role.
 - APs are employed to introduce a non-Actor semantic argument only when pronominal (i.e. like Bukusu =xo).
 - The applied object is introduced by the AP and assigned a semantic role.

APs as applicatives V

- Applicatives may have additional grammaticalized functions. The malefactive construction can only be expressed by an applicative.
- a. pişîle-ke=yan lê- kuşt-im cat-DEF=3PL.A MAL.APPL- kill.PST-1SG.O

Mukrî: 'They killed my cat, and I perceived this as indirectly affecting me in a negative manner.'

- b. *pişîle-ke=yan [le min] kuşt cat-DEF=3PL.A from 1SG kill.PST
- c. *pişîle-ke=yan [lê=m] kuşt cat-DEF=3PL:A from=1SG kill.PST

Mukrî: 'They killed my cat on me.' (Öpengin, 2013, 191-2)

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The formatives

- Kurmancî (Northern Kurdish) preserves nominal case
- (34) te li Şîrîn-ê ve-gerand
 2SG.OBL from Shirin.F.OBL PV-reply.PST.3SG
 Kurmancî: 'You replied to Shirin.' (adapted from ?)
 - When a simplex preposition combines with a third-person singular oblique pronoun, the result is a contracted form.
- (35) te lê ve-gerand 2SG.OBL from-3SG.OBL PV-reply.PST.3SG Kurmancî: 'You replied to him/her.' (adapted from ?)

The formatives II

• These formatives no longer encode gender, and they are identical to Soranî applicatives.

Preposition		Pronoun		Realization
li				lê
ji	I	wî [3.sg.m.obl]	_	jê
bi	+		=	pê
di		wê [3.sg.f.obl]		tê

• Review of argument indexing in Soranî

	APM ₁ PRS: S/A PST: —	PRS: S/A PRS: P/O _{APPL.INTR} /O _{APPL.TR}		APM ₂ PRS: — APM ₂ : S/P/O _{APPL.TR}		
	SG	PL	SG	PL	SG	PL
1	-im	-în	=(i)m	=man	-im	-în
2	-î(t)	-(i)n	=(i)t	=tan	-î(t)	-(i)n
3	$-\hat{e}(t)/a(t)^3$	-(i)n	=î	=yan	-Ø	-(i)n

Integration into the verbal complex

Integration into the verbal complex I

- There is a missing step between third-person singular pronouns contracted with prepositions and applicatives.
- The use of APMs to encode oblique objects and the presence of too many arguments need explanation.

(36) *ewe² =m¹ l-
$$\hat{e}^4$$
 wer- girt- $\hat{i}(t)^3$
that =1sG:A from-3sG.OBL PV- hold.PST-2sG:O
'l¹ took that² you³ from it⁴.'

(37) ewe =m lê- wer- girt- $\hat{i}(t)$ that =1sg:A ABL:APPL- PV- hold.Pst-2sg:O 'I took that from you.'

Integration into the verbal complex II

- There is syncretism between:
 - VP: NP V.pst:
- (38) ewan =m girt those =1sG:A hold.PST 'I held those.'

- VP: V.pst.3sg.O:

(39) girt =im $-\emptyset$ hold.PST =1SG -3SG 'I held it.'

Integration into the verbal complex III

- This syncretism provides the ambiguous context for such a reanalysis.
- (40) ewe =m **l-ê** wer- girt that =1sG:A from-3sG PV- hold.PsT 'I took that from him.'
- (41) ewe =m lê- wer- girt-Ø that =1SG:A ABL:APPL- PV- hold.PST-3SG:O 'I took that from him.'
 - Note that other than as part of the applicative morphemes, oblique pronouns have dropped out of the language.

Repeat (and what is left out)

The Cycle repeats I

- Complex applicatives are identical to their prepositional counterparts.
- (42) a. hewt řoj zoran =it legelseven day wrestle =2SG:A COM.APPLgirt-im LV.PST-1SG.O_{APPL}
 - b. hewt řoj zoran =it girt-im legel to seven day wrestle =2sG:A LV.PST with you(sG)
 - c. hewt řoj zoran =it girt-im legel=it seven day wrestle =2SG:A LV.PST with=2SG
 'You wrestled with me for seven days.' (Mackenzie, 1961, 2: 24)

The Cycle repeats II

- These forms were integrated into the applicative system, but crucially the simplex prepositions, *ta* 'until,' *wekû* 'like,' and *bê* 'without,' were not.
- For this reason, analogy alone is not enough to explain these formatives joining the applicative system.
- Here the possessive forms are the likely bridging context.
 - Lege↓(=a) 'with' consists of two parts, the simplex adposition *le* NP=a 'in NP' and the noun *ge*↓ 'group/flock.'
 - The nominal in this construction could always take a genitival complement, e.g. *lege+=man(=a)* 'in our group.'

 Additionally, a past tense transitive verb may encode the possessor of a noun:

The Cycle repeats IV

There are therefore two possibilities:
 (1) 3SG.TR.PST bridging context:

The Cycle repeats V

- (2) PRS bridging context:

- (46) ktêw legeł=î e-kř-ê
 book with=3sg IPFV-buy.PRS-3sg.A
 Soranî: 'S/he bought a book with him/her.'
- (47) ktêw legel- =î e-kř-ê book COM:APPL- =3SG.O IPFV-buy.PRS-3SG.A Soranî: 'S/he bought a book with him/her.'

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Middle Persian

(WAM 22 apud Jügel, 2016, 6)

(48) ka =tān nēkīh awiš when =2SG.OBL somthing.good DAT (lit. to 3SG) rasēd come.PRS.SBJ.3SG MP: 'When something good came to you(PL)'

Şirvan Tat(Suleymanov, 2020, 295, glosses altered)

(49) bă čäki=ti bi-rä üdömin-ho=ra vö LOC weight=POSS:2 be-PTCP person-PL=OBL [COM] bi-niš vaxs-i! MOD-sit:2 MOD_get.up-2 'Associate [lit. sit and get up] with people of your own level [lit. weight]!'

Other (possible) applicatives in Iranian III

Hewramî

(MacKenzie, 1956, 66)

- (50) mumkin =ā hîç =iş possible =COP.3SG.M none =3SG.O næ-b-o -çænæ NEG-be.PRS.SBJ-3SG.S -LOC.APPL 'Maybe, there is nothing in it,'
- (51) wæ mumkin=îç =ā çêw-î xas and possible=ALSO =COP.3SG.M something-EZ good =iş çænæ- b-o =3SG.O LOC.APPL- be.PRS.SBJ-3SG.S 'and maybe, there is something good in it.'

Other (possible) applicatives in Iranian IV

Hewramî

(MacKenzie, 1956, 76)

(52) ħækayætæ-w kilkæwanæ-î =ş pæystory-EZ./GEN ring-OBL =3SG.A BEN.APPLkærð-æ do.PST-3SG.F
''he told her the story of the ring.'

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Zor spas! Fræ mæmnûn! Mærsi! Thank you much!

Appendix

- (53) Kiç eme=î zor pê- xoş bû, girl this=3sG:E very AP- please.LV LV.STEM.PST
 'This pleased the girl greatly.' (Mackenzie, 1961, 20.12)
- (54) Debê xêwet-êk=im le derewe-î şar bomust tent-INDF=1SG:R from outside-EZ city APheł bi-de-n pitch.PV SBJ-pitch.STEM.PRS-3PL:A 'they will have to pitch a tent for me outside the city' (Thackston, 2006, 24)







